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Bescheinigung

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The attached documents are exact copies of the European patent application described on the following page, as originally filed.

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Patentanmeldung Nr. Patent application No. Demande de brevet n°

00202977.5



R.C. VAN DIJK

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

I.L.C. HATTEN-HECKMAN

DEN HAAG, DEN  
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18/04/01

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**Blatt 2 der Bescheinigung**  
**Sheet 2 of the certificate**  
**Page 2 de l'attestation**

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Method and apparatus for reducing the word length of a digital input signal and method and apparatus for recovering the digital input signal

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Method and apparatus for reducing the word length of a digital input signal and method and apparatus for recovering the digital input signal

EPO - DG 1

25.08.2000

(41)

The present invention relates to a method for reducing the number of bits of a digital input signal comprising the steps of adding a pseudo-random noise signal to the digital input signal to obtain an intermediate signal, the pseudo-random noise signal being defined by noise parameters, and quantising the intermediate signal having a word length of n bits to 5 a reduced word length signal having a word length of m bits, n being larger than or equal to m. In a further aspect, the present invention relates to a signal processing apparatus, comprising a pseudo-random noise generator for generating a noise signal being defined by noise parameters, an addition element connected to the noise generator for adding the noise signal to a digital input signal to provide an intermediate signal, and a first quantising 10 element connected to the addition element for transforming the intermediate signal having a word length of n bits into a reduced word length signal having a word length of m bits, n being larger than or equal to m.

British patent application GB-A-2 293 297 describes an apparatus for processing an input digital signal with a word length of 32 bits to generate an output digital 15 signal of a lower resolution (16 bits). Before converting the digital signal to an output digital signal with the lower resolution, pseudo-random noise is added to the input digital signal. Adding the noise results in a dithering of the signal, in order to smooth out the harsh linear transfer function of the 32-bit to 16-bit quantiser. When reproducing the digital signal, the identical noise signal is subtracted from the recorded signal to provide a substantially noise-20 free 16-bit signal. Synchronisation of the noise signal and the digital signal is achieved by regenerating an indicator signal from the recorded signal.

Present day quantisation of signals for recording on a recording medium such as compact discs is limited to 16 bits, resulting in a maximum dynamic range of only 96 dB. Although it is possible to use the same recording techniques for recording digital signals with 25 a larger word length and thus higher dynamic range, such a recording would not be compatible with older systems for replay. The method and system described in GB-A-2 293 297 improve the quality of playback of the signal by adding noise to the signal before quantising, but as the synchronisation and noise parameter data are included in the recorded signal, identical copying of the recorded signal is possible.

The present invention therefore seeks to provide a method and apparatus for reducing the word length of a digital signal having an increased dynamic range, while remaining backwards compatible with methods and apparatus for replaying the reduced word length signal, such as the standardised CD-signal of 16 bits. Also, it should be possible with 5 the present invention to provide an anti-copy protection of the quantised signal.

The present invention provides a method according to the preamble defined above, in which the step of quantising the intermediate signal comprises a first transfer function which is non-linear, the first transfer function being defined by non-linear device parameters. By properly choosing the noise parameters and non-linear device parameters, it is 10 possible to obtain a reduced word length signal which may be recovered or replayed using conventional means, but with a reduced quality. When n is equal to m, no reduction in bit length occurs, but the effect of the non-linear transfer device and the addition of noise remain.

In a further embodiment, a quantisation step of the first transfer function for 15 small amplitudes is smaller than a quantisation step for large amplitudes. Preferably, the gain of the first transfer function is substantially equal to one for small amplitudes and in which the gain decreases for large amplitudes. An example of a transfer function is given by the function  $M_e/D_i = c_1 \tanh(c_2 D_i + c_3)$ , in which  $M_e$  is the reduced word length signal,  $D_i$  is the intermediate signal and  $c_1, c_2, c_3$  are the non-linear device parameters. The first transfer 20 function determines the amount of distortion in the reduced word length signal. The human ear is more sensitive for noise in small amplitude signals than for noise in large amplitude signals. This psycho-acoustic effect allows to add noise to degrade the reduced word length signal while still being discernible and audible, be it at a reduced quality level.

In a further embodiment, the amplitude of the noise signal is at least equal to a 25 predetermined noise value. This predetermined noise value is chosen to assure that a dither effect is provided, even for large amplitude signals.

Noise shaping techniques may be applied to obtain the noise signal having more preferred characteristics.

In an even further embodiment, the reduced word length signal, the non-linear 30 device parameters and/or the noise parameters are recorded on a recording medium. As an example, the recording medium is a compact disc and the reduced word length signal is recorded on a first channel and the non-linear device parameters and/or the noise parameters are recorded on a second channel, the first channel and second channel being separate channels. This allows to provide a low quality reduced word length signal, e.g. as a pre-view

- (better pre-listen) signal. Only when the receiver also receives the non-linear device parameters and the noise parameters, the original full quality digital signal can be recovered.
- As the non-linear device parameters and noise parameters are distributed over a channel separate from the reduced word length signal, the present method also provides an anti-copying capability of the high quality signal.

Also, the present method may comprise the further step of providing a difference signal, the difference signal being equal to the intermediate signal minus the reduced word length signal.

The present invention also relates to a method for recovering an output signal from a reduced word length signal provided by the method according to the present invention, comprising the steps of quantising the reduced word length signal having  $m$  bits to a decoded signal having  $n$  bits, the quantising being defined by a second transfer function, the second transfer function being the inverse of the first transfer function. This allows to recover an output signal having the original word length of the original digital input signal, providing an output signal with a high dynamic range.

In a preferred embodiment, a subtraction noise signal is subtracted from the decoded signal in order to provide the output signal, the subtraction noise signal being substantially equal to the noise signal. This embodiment allows to recover an output signal with a high quality level, the only additional degradation of the original digital input signal being formed by the quantisation noise introduced by the non-linear quantisation operation. By properly choosing the non-linear device parameters and noise parameters, this additional degradation may be limited to an acceptable level.

In a second aspect, the present invention relates to a signal processing apparatus according to the preamble defined above, in which the quantising element has a non-linear transfer function, the non-linear transfer function being defined by non-linear device parameters. More preferably, the signal processing is arranged to execute the method according to the present invention.

Also, the present invention relates to a signal decoding apparatus for recovering an output signal from a reduced word length signal provided by the signal processing apparatus according to the present claim, comprising a second quantisation element having a second transfer function for transforming the reduced word length signal into a decoded output signal, the second transfer function being the inverse of the first transfer function.

In a preferred embodiment the signal decoding apparatus comprises a second noise source for providing a subtraction noise signal to a subtraction element, the subtraction noise signal being substantially equal to the noise signal, the subtraction element being arranged for subtracting the subtraction noise signal from the decoded signal in order to 5 provide the output signal.

The present invention may be applied to all sorts of digital signals, representing e.g. an audio signal or a video signal.

The present invention will be described in more detail with the aid of exemplary embodiments with reference to the accompanying drawing, the figure showing a 10 schematic diagram of signal flows in the method according to the present invention.

A digital input signal  $D_i$ , having a word length of  $n$  bits (e.g. 20 bits) is being input to a first non-linear device (NLD) 10. The first NLD 10 has a transfer characteristic such that the word length of the digital input signal  $D_i$  is reduced from  $n$  bits to  $m$  bits for the first reduced word length signal  $M_e$  (e.g. from 20 bits to 16 bits, as in a usual CD signal). The 15 transfer characteristic is non-linear, e.g. the quantisation step for small amplitudes is larger than the quantisation step for large amplitudes. The gain for small amplitudes may be substantially equal to one (linear characteristic), while for large amplitudes the gain decreases. An example for such a transfer characteristic is the following function:

$$M_e/D_i = c_1 \tanh(c_2 D_i + c_3),$$

20  $c_1, c_2$  and  $c_3$  being predetermined NLD parameters  $NLD_p$ .

In general, the characteristic function of the first NLD 10 should comply with the requirements, that the function should be monotonous over the input signal range, that for zero input value, the output value should be substantially zero (i.e., in the above equation,  $c_3 \approx 0$  and preferably  $c_3 = 0$ ), and that the output value for negative input values should be 25 substantially equal to minus the positive input value ( $f(-x) \approx -f(x)$ ). Of course, the characteristic function should also comply with the primary objective of the first NLD 10, i.e. to reduce the word length of the digital input signal  $D_i$  from  $n$  bits to  $m$  bits.

30 Preferably, a noise signal  $N$  is added to an original digital input signal  $M_i$  to obtain the digital input signal  $D_i$ . The noise signal  $N$  is generated utilising a pseudo-random dither-noise source 12. The noise signal  $N$  is added to the original digital input signal  $M_i$  by adder 11, resulting in the digital input signal  $D_i$ .

The characteristic of the added noise signal may be determined by noise parameters  $N_p$ , such as the probability density function (pdf), amplitude, seed value, etc. The noise parameters  $N_p$  are chosen to affect the original digital input signal  $M_i$  at a

predetermined level such that a constant output signal (which is highly undesirable) is prevented. This step is also known in the art as dithering a signal. When the original digital input signal  $M_i$  is a digital data stream representing an audio signal, the added noise signal may deteriorate the audio signal ranging from hardly audible to clearly audible. To have a sufficient effect on the signal after it has passed the first NLD 10, the added noise signal should have an amplitude which is large enough to accomplish a dither effect in the signal after the first NLD 10.

The digital input signal  $D_i$  (including the added noise signal  $N$ ) is input to the first NLD 10. A rather high distortion of the original digital input signal  $M_i$  by the noise signal  $N$  may still be discernible for the human ear, although with a noticeable decrease of signal quality. Moreover, by controlling the noise parameters  $N_p$ , the overall SNR may be influenced.

The reduced word length signal  $M_e$  may be recorded on a recording medium 13, such as a compact disc. This compact disc 13 thus comprises the reduced word length signal  $M_e$  having an  $m$ -bit word length. For reasons of clarity, the further signal processing for recording a digital signal on a compact disc 13, such as the error correction and EFM modules, have not been depicted. When, as discussed before,  $m$  has the value 16, the compact disc 13 with the reduced word length signal  $M_e$  may be played back on a conventional 16-bit compact disc player. As the original input signal  $M_i$  has been non-linearly modified, the replayed signal will however be more or less distorted, depending on the amount noise signal added by the noise source 12 and the amount of distortion introduced by the first NLD 10. Alternatively, the reduced word length signal  $M_e$  may be transmitted by means of a transmission medium, such as the Internet or a telephone line, to a receiver.

For recovery of the original input signal  $M_i$ , the reduced word length signal  $M_e$  is input into a second NLD 14. The second NLD 14 has the inverse characteristic of the first NLD 10, transforming the  $m$ -bit reduced word length signal  $M_e$  into an  $n$ -bit decoded signal  $M_d$ . Of course, the decoded signal  $M_d$  will comprise quantisation noise caused by the subsequent operations on the signal by the first NLD 10 and second NLD 14.

The addition of the noise signal  $N$  to the original digital input signal  $M_i$  and the subsequent non-linear operation by the first non-linear device 10 provides a reduced word length signal  $M_e$ , in which the SNR for small amplitudes is smaller than the SNR for large amplitudes. However, the second non-linear device 14 reduces the relatively large noise component for small amplitudes and amplifies the relatively small noise component at large amplitudes, thus resulting in a substantially constant SNR over all amplitudes.

In a preferred embodiment, the added noise signal may be subtracted from the decoded signal  $M_d$  by a subtraction element 15, providing an n-bit output signal  $M_o$  which is substantially equal to original digital input signal  $M_i$ . For this, preferably, a second noise source 16 (possibly identical to the noise source 12) is used, which may generate the noise signal to be subtracted from the decoded signal  $M_d$  using the noise parameters  $N_p$ . For a good operation, the second noise signal  $N_2$  should be synchronised with the reduced word length signal  $M_e$ .

Both the NLD parameters  $NLD_p$  and the noise parameters  $N_p$  may be fixed values. In this case, these parameters may be included in the first NLD 10, second NLD 14, noise source 12 and second noise source 16, e.g. by storing the parameters  $NLD_p$ ,  $N_p$  in an associated memory element (not shown).

In a preferred alternative embodiment, the parameters  $NLD_p$ ,  $N_p$  are variable parameters, which may be transferred together with the reduced word length signal  $M_e$ . When the reduced word length signal  $M_e$  is recorded on a compact disc, as discussed above, the parameters may be stored in the sub-code of the compact disc, but also by employing a physical change in the compact disc, such as pit-length modulation or pit-depth modulation. The solution with the physical change provides the additional benefit that a normal compact disc copy operation will only copy the reduced word length signal  $M_e$ , and not the physically encoded parameters  $NLD_p$ ,  $N_p$ . This provides a good anti-copy protection, as the reduced word length signal  $M_e$  can not be recovered to the n-bit output signal  $M_o$  without added noise signal  $N$ .

Of course, the parameters  $NLD_p$ ,  $N_p$  and the reduced word length signal  $M_e$  may be transferred over separate channels, either physically separated channels or channels separated in the time domain. It is, e.g., possible to provide the reduced word length signal  $M_e$  as a preview (or better, prelisten) signal, e.g. via the Internet. The preview signal  $M_e$  has a reduced quality (lower SNR) compared to the original digital input signal  $M_i$ , but the NLD parameters  $NLD_p$  and noise parameters  $N_p$  are chosen such that the signal is still acceptable for preview purposes. Only after payment, the parameters  $NLD_p$ ,  $N_p$  will be made available via a separate channel (e.g. a secure Internet connection), in order to allow recovery of the output signal  $M_o$  with high quality.

It will be apparent to the person skilled in the art that other variants are possible, such as only either the NLD parameters  $NLD_p$  or the noise parameters  $N_p$  being variable. The fixed parameters may then be included in the replay equipment (buried in

silicon), while the variable parameters are transferred with the reduced word length signal  $M_e$ .

In a further embodiment, noise shaping techniques are applied when generating the noise signal  $N$  to be added to the original digital input signal  $M_i$ , allowing e.g. 5 a feedback of the quantisation error caused by the first NLD 10.

In an even further embodiment, not the reduced word length signal  $M_e$  is recorded, but the difference  $\Delta_{ie} = D_i - M_i$ . For certain applications such a coding technique may provide further advantages, such as requiring a reduced word length signal  $M_e$  to be recorded with an even lower word length.

10 Thus, the present method allows to record an original digital data signal  $M_i$  having a high dynamic range on a medium using a reduced word length signal  $M_e$  (with a lower dynamic range) and to recover an output signal having again a high dynamic range. The present method provides a backwards compatibility with older recovery equipment arranged for recovering a signal with the reduced word length of  $M$  bits, although some 15 signal degradation may occur caused by the non-linear word length reduction of the first NLD 10 and the addition of dither noise from the noise source 12. As mentioned before, copying of the recorded signal and associated parameters  $NLD_p$ ,  $N_p$ , e.g. on a compact disc 13 using generally available equipment may be prevented using certain modulation techniques. The present method also allows to improve the performance of e.g. compact disc 20 players by increasing the maximum dynamic range of the output signal  $M_o$  while retaining backwards compatibility.

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CLAIMS:

25.08.2000

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1. Method for reducing the number of bits of a digital input signal ( $M_i$ ) comprising the steps of

adding a pseudo-random noise signal ( $N_a$ ) to the digital input signal ( $M_i$ ) to obtain an intermediate signal ( $D_i$ ), the pseudo-random noise signal ( $N_a$ ) being defined by

5 noise parameters ( $N_p$ ); and

quantising the intermediate signal ( $D_i$ ) having a word length of  $n$  bits to a reduced word length signal ( $M_e$ ) having a word length of  $m$  bits,  $n$  being larger than or equal to  $m$ ,

characterised in that

10 the step of quantising the intermediate signal ( $D_i$ ) comprises a first transfer function which is non-linear, the first transfer function being defined by non-linear device parameters ( $NLD_p$ ).

2. Method according to claim 1, in which the quantisation step of the first transfer function for small amplitudes is smaller than the quantisation step for large amplitudes.

3. Method according to claim 2, in which the gain of the first transfer function is substantially equal to one for small amplitudes and in which the gain decreases for large amplitudes.

4. Method according to claim 3, in which the first transfer function equals the function  $M_e/D_i = c_1 \tanh(c_2 D_i + c_3)$ ,  
in which  $M_e$  is the reduced word length signal,  $D_i$  is the intermediate signal and  $c_1, c_2, c_3$  are  
25 the non-linear device parameters ( $NLD_p$ ).

5. Method according to one of the proceeding claims, in which the amplitude of the noise signal ( $N_a$ ) is at least equal to a predetermined noise value.

6. Method according to one of the proceeding claims, in which noise shaping techniques are applied to obtain the noise signal ( $N_a$ ).

5 7. Method according to one of the proceeding claims, in which the reduced word length signal ( $M_e$ ), the non-linear device parameters ( $NLD_p$ ) and/or the noise parameters ( $N_p$ ) are recorded on a recording medium (13).

10 8. Method according to claim 7, in which the recording medium (13) is a compact disc and the reduced word length signal ( $M_e$ ) is recorded on a first channel and the non-linear device parameters ( $NLD_p$ ) and/or the noise parameters ( $N_p$ ) are recorded on a second channel, the first channel and second channel being separate channels.

15 9. Method according to one of the proceeding claims, comprising the further step of providing a difference signal, the difference signal being equal to the intermediate signal ( $D_i$ ) minus the reduced word length signal ( $M_e$ ).

10. Method for recovering an output signal ( $M_o$ ) from a reduced word length signal ( $M_e$ ) provided by the method according to one of the claims 1 through 8, comprising the steps of

20 quantising the reduced word length signal ( $M_e$ ) having m bits to a decoded signal ( $M_d$ ) having n bits, the quantising being defined by a second transfer function and the second transfer function being the inverse of the first transfer function.

25 11. Method according to claim 10, further comprising the step of subtracting a subtraction noise signal ( $N_s$ ) from the decoded signal ( $M_d$ ) in order to provide the output signal ( $M_o$ ), the subtraction noise signal ( $N_s$ ) being substantially equal to the noise signal ( $N_a$ ).

30 12. Signal processing apparatus, comprising a pseudo-random noise generator (12) for generating a noise signal ( $N_a$ ) being defined by noise parameters ( $N_p$ ), an addition element (11) connected to the noise generator (12) for adding the noise signal ( $N_a$ ) to an digital input signal ( $M_i$ ) to provide an intermediate signal ( $D_i$ ), and a first quantising element (10) connected to the addition element (11) for transforming the intermediate signal ( $D_i$ )

having a word length of n bits into a reduced word length signal ( $M_e$ ) having a word length of m bits, n being larger than or equal to m, characterised in that

the quantizing element (10) has a non-linear transfer function, the non-linear transfer function being defined by non-linear device parameters ( $NLD_p$ ).

5

13. Signal processing apparatus according to claim 12, in which the signal processing apparatus is arranged to execute the method according to one of the claims 1 through 9.

10 14. Signal decoding apparatus for recovering an output signal ( $M_o$ ) from a reduced word length signal ( $M_e$ ) provided by the signal processing apparatus according to claim 12 or 13, comprising

15 a second quantisation element (14) having a second transfer function for transforming the reduced word length signal ( $M_e$ ) into a decoded output signal ( $M_d$ ), the second transfer function being the inverse of the first transfer function.

15. Signal decoding apparatus according to claim 14, further comprising a second noise source (16) for providing a subtraction noise signal ( $N_s$ ) to a subtraction element (15), the subtraction noise signal ( $N_s$ ) being substantially equal to the noise signal ( $N_a$ ), the subtraction element (15) being arranged for subtracting the subtraction noise signal ( $N_s$ ) from the decoded signal ( $M_d$ ) in order to provide the output signal ( $M_o$ ).

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## ABSTRACT:

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Method and signal processing apparatus for reducing the number of bits of a digital input signal ( $M_i$ ) comprising the steps of adding a pseudo-random noise signal ( $N_a$ ) to the digital input signal ( $M_i$ ) to obtain an intermediate signal ( $D_i$ ), the pseudo-random noise signal ( $N_a$ ) being defined by noise parameters ( $N_p$ ), and quantising the intermediate signal  $(D_i)$  having a word length of  $n$  bits to a reduced word length signal ( $M_e$ ) having a word length of  $m$  bits,  $n$  being larger than or equal to  $m$ . The method further comprises the step of quantising the intermediate signal ( $D_i$ ) comprises a first transfer function which is non-linear, the first transfer function being defined by non-linear device parameters ( $NLD_p$ ). Also, the present invention relates to a method and signal decoding apparatus for recovering an output signal ( $M_o$ ) from a reduced word length signal ( $M_e$ ) provided by the method according to the invention.

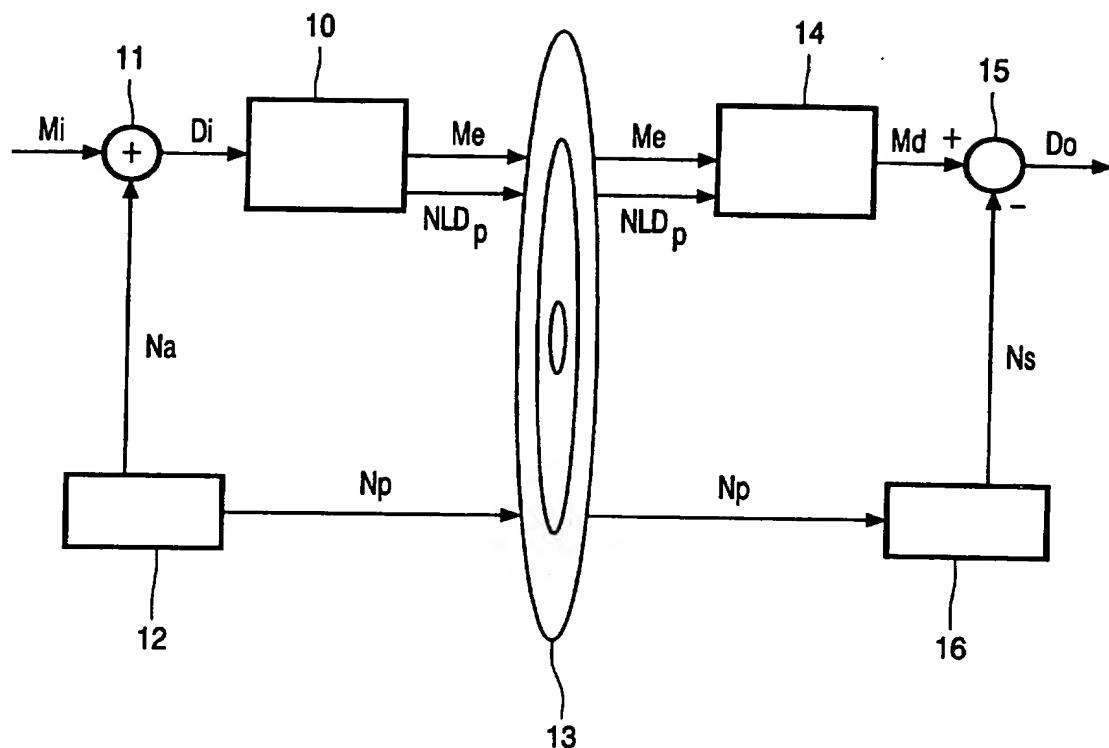
(Fig. 1)

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